

$\Delta^*(\mathcal{H})$  operators and the hyperinvariant subspace problem

**Nuha H. Hamada\***

*Al Ain University*

*Abu Dhabi*

*UAE*

*nuha.hamada@aau.ac.ae*

**Adel G. Naoum**

**Abstract.** This paper is devoted to study the relation between an operator  $T$  that satisfies the operator equation  $TK - KT^* = C$  for some compact operator  $K$  and some rank one operator  $C$ , and the hyperinvariant subspace problem.

**Keywords:** Hyperinvariant subspace, rank-one operator, commutators.

**1. Introduction**

Let  $\mathcal{H}$  be an infinite dimensional separable complex Hilbert space and  $\mathcal{B}(\mathcal{H})$  be the algebra of bounded linear operators on  $\mathcal{H}$ . Recall that a closed linear manifold (subspace)  $M$  of  $\mathcal{H}$  is said to be a nontrivial invariant subspace for an operator  $T \in \mathcal{B}(\mathcal{H})$  if  $TM \subseteq M$ . If, moreover,  $0 \neq M \neq \mathcal{H}$  then  $M$  is called a nontrivial invariant subspace.  $M$  is said to be a hyperinvariant subspace for  $T$  if  $SM \subseteq M$  for every operator  $S$  that commutes with  $T$ .

The motivation of this note is the remarkable result obtained by V. Lomonosov in [9], he proved that any nonscalar operator commutes with a nonzero compact operator has a nontrivial hyperinvariant subspace. H.W. Kim, C. Pearcy, and A.L. Shields had defined a class,  $\Delta(\mathcal{H})$ , of all operators  $T$  in  $\mathcal{B}(\mathcal{H})$  with the property that there exists a compact operator  $K$  such that  $TK - KT$  has rank one. It was shown that every operator in  $\Delta(\mathcal{H})$  has a nontrivial hyperinvariant subspace [7]. This class was extensively studied in [6] and [8].

In this paper, we define the class  $\Delta^*(\mathcal{H})$  of all operators  $T \in \mathcal{B}(\mathcal{H})$  with the property that there exists a compact operator  $K$  such that  $TK - KT^*$  has rank one. The main purpose is to obtain some results concerning the relation between the class  $\Delta^*(\mathcal{H})$  and the hyperinvariant subspace problem. In section 2, we study the basic properties of  $\Delta^*(\mathcal{H})$  and give some examples. However, we can not prove that the nonscalar elements in  $\Delta^*(\mathcal{H})$  have nontrivial hyperinvariant subspaces. In section 3, we define a subclass  $\Delta^*\mathcal{F}(\mathcal{H})$  of  $\Delta^*(\mathcal{H})$ ,  $\Delta^*\mathcal{F}(\mathcal{H}) = \{T \in \mathcal{B}(\mathcal{H}) \mid \text{there exists a finite rank operator } F \text{ such that } TF - FT^* \text{ has rank one}\}$ . We show that every nonscalar element in  $\Delta^*\mathcal{F}(\mathcal{H})$  has a nontrivial hyperinvariant subspace. Furthermore, we prove that  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  iff  $T$  has an eigenvalue provided that  $T \neq \lambda I, \lambda \in \mathbb{R}$ .

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\*. Corresponding author

## 2. The class $\Delta^*(\mathcal{H})$ : basic properties

We introduce a new class of operators, denoted by  $\Delta^*(\mathcal{H})$ . The aim of this section is to study some basic properties of  $\Delta^*(\mathcal{H})$ .

**Definition 2.1.** By  $\Delta^*(\mathcal{H})$  we mean the set of all operators  $T$  in  $\mathcal{B}(\mathcal{H})$  with the property that there exists a compact operator  $K$  such that the rank of  $TK - KT^*$  is equal to one. That is,  $\Delta^*(\mathcal{H}) = \{T \in \mathcal{B}(\mathcal{H}) \mid \text{there exists a compact operator } K \text{ such that } TK - KT^* \text{ has rank one}\}$ .

**Remark 2.2.** One can easily see that  $\Delta^*(\mathcal{H})$  is not empty. In fact  $iI \in \Delta^*(\mathcal{H})$ , for let  $K = x \otimes y$  be the rank one operator that sends  $y$  to  $x$  provided that  $x$  and  $y$  are nonzero unit vectors, then clearly  $K$  is compact and  $T = iT, TK - KT^* = 2i(x \otimes y)$  is of rank one.

We begin with the following proposition

**Proposition 2.3.** 1. For any scalar operator  $\lambda I$ ,  $\lambda I \in \Delta^*(\mathcal{H})$  iff  $\lambda \notin \mathbb{R}$ .

2.  $T \in \Delta^*(\mathcal{H})$  iff  $\alpha T + \beta I \in \Delta^*(\mathcal{H})$  for each  $\alpha \neq 0, \beta \in \mathbb{R}$

**Proof.** 1. It is clear that if  $\lambda \in \mathbb{R}, \lambda K - K(\lambda I)^* = 0$  for any compact operator  $K$ , thus  $\lambda I \notin \Delta^*(\mathcal{H})$ . If  $\lambda \notin \mathbb{R}$  then  $\lambda = a + ib$  where  $a, b \in \mathbb{R}$  and  $b \neq 0$ . Thus, if  $K$  is an operator of rank one,

$$\lambda K - K(\lambda I)^* = aK + ibK - (aK - ibK) = 2ibK$$

which is also of rank one. Consequently,  $\lambda I \in \Delta^*(\mathcal{H})$ .

2. Suppose that  $T \in \Delta^*(\mathcal{H})$ , then there exists a compact operator  $K$  such that  $TK - KT^*$  has rank one. Let  $\alpha, \beta \in \mathbb{R}$  with  $\alpha \neq 0$  then  $(\alpha T + \beta I)K - K(\alpha T + \beta I)^* = \alpha(TK - KT^*)$  which has rank one. Thus,  $\alpha T + \beta I \in \Delta^*(\mathcal{H})$ . The converse is trivial, just assume  $\alpha = 1, \beta = 0$ .  $\square$

**Remark 2.4.** The class  $\Delta^*(\mathcal{H})$  is not closed under the usual algebraic operations. For example, it is not a linear manifold since clearly  $0 \in \Delta^*(\mathcal{H})$ . Now, if  $T_1, T_2 \in \Delta^*(\mathcal{H})$ , it does not always follow that  $T_1 + T_2 \in \Delta^*(\mathcal{H})$  or  $T_1 T_2 \in \Delta^*(\mathcal{H})$ . In fact, if  $T_1 = iI$  and  $T_2 = iI$  then Proposition 2.3 implies that both  $T_1, T_2 \in \Delta^*(\mathcal{H})$  while neither  $T_1 + T_2 = 0$  nor  $T_1 T_2 = I$  belongs to  $\Delta^*(\mathcal{H})$ .

Now, we go through some examples of operators that belong to  $\Delta^*(\mathcal{H})$ . We give first the following remark which will be used several times in our work.

**Remark 2.5.** For any nonzero vectors  $f, g \in \mathcal{H}$ , for any  $T \in \mathcal{B}(\mathcal{H})$ ,

$$T(f \otimes g) - (f \otimes g)T^* = (Tf \otimes g) - (f \otimes Tg).$$

**Proposition 2.6.** Let  $T$  be any nonzero operator which is not 1-1, then  $T \in \Delta^*(\mathcal{H})$ .

**Proof.** By assumption, there exist nonzero vectors  $x, y \in \mathcal{H}$  such that  $Tx \neq 0$  and  $Ty = 0$ . Let  $K = x \otimes y$ , then  $K$  is of rank one hence compact and

$$TK - KT^* = T(x \otimes y) - (x \otimes y)T^* = Tx \otimes y - x \otimes Ty = Tx \otimes y$$

which has rank one. Thus  $T \in \Delta^*(\mathcal{H})$ . □

**Corollary 2.7.** *Any nonzero nilpotent operator belongs to  $\Delta^*(\mathcal{H})$ .*

**Proof.** Let  $T$  be a nonzero nilpotent operator, then there exists  $n \in \mathbf{N}$  such that  $T^n = 0$  and  $T^{n-1} \neq 0$ . Let  $f \in \mathcal{H}$  be such that  $T^{n-1}f \neq 0$  then  $T(T^{n-1}f) = T^n f = 0$  so  $T$  is not 1-1. Hence by proposition 6,  $T \in \Delta^*(\mathcal{H})$ . □

**Corollary 2.8.** *Any nonzero finite rank operator belongs to  $\Delta^*(\mathcal{H})$ .*

**Proposition 2.9.** *Let  $T$  be a nonzero operator. Assume there exists a polynomial  $p$  such that  $p(T) \neq 0$  but  $Tp(T) = 0$  then  $T \in \Delta^*(\mathcal{H})$ .*

**Proof.** By hypothesis, there exist nonzero vectors  $f, g \in \mathcal{H}$  such that  $p(T)f \neq 0$  and  $Tg \neq 0$ . Let  $K = p(T)f \otimes g$  then

$$TK - KT^* = Tp(T)f \otimes g - p(T)f \otimes Tg = -p(T)f \otimes Tg,$$

is of rank one. Thus,  $T \in \Delta^*(\mathcal{H})$ . □

**Corollary 2.10.** *Every nonzero idempotent operator which is not the identity belongs to  $\Delta^*(\mathcal{H})$ .*

**Proof.** Let  $T$  be an idempotent operator such that  $T \neq I$ . If  $p(x) = x - 1$  is a polynomial then  $p$  satisfies the condition of Proposition 3.9, thus  $T \in \Delta^*(\mathcal{H})$ . □

Recall that an operator  $T$  is called algebraic if  $p(T) = 0$  for some polynomial  $p$ .

**Proposition 2.11.** *Let  $T$  be a nonzero algebraic operator. If  $T$  is non invertible then  $T \in \Delta^*(\mathcal{H})$ .*

**Proof.** Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$ ;  $a_i \in \mathbb{R}, i = 0, 1, \dots, n$  be a polynomial such that  $p(T) = 0$ . If  $a_0 \neq 0$  then  $a_n T^n + a_{n-1} T^{n-1} + \dots + a_1 T = -a_0 I$ , So

$$T(a_n T^{n-1} + a_{n-1} T^{n-2} + \dots + a_1) = -a_0 I,$$

which contradicts the non invertibility of  $T$ . Let  $q(T) = a_n T^{n-1} + a_{n-1} T^{n-2} + \dots + a_1$ , then  $Tq(T) = 0$ . One can assume that the degree of  $q$  is the smallest one among those polynomials that annihilate  $T$ . Thus,  $q(T) \neq 0$  and therefore proposition 9 implies that  $T \in \Delta^*(\mathcal{H})$ . □

**Proposition 2.12.** *If  $T, K, R \in \mathcal{B}(\mathcal{H})$  with  $K$  compact and if  $p$  is a polynomial with real coefficients such that  $p(T)K - Kp(T)^* = R$ , then there exists a compact operator  $K'$  such that  $TK' - K'T^* = R$ .*

**Proof.** Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$ ;  $a_i \in \mathbb{R}$ ,  $i = 0, 1, \dots, n$  and  $a_n \neq 0$ . Then  $p(T)K - Kp(T)^* = a_n(T^n K - K(T^*)^n) + a_{n-1}(T^{n-1} K - K(T^*)^{n-1}) + \dots + a_1(TK - KT^*)$ .

Now, for any  $\ell \in \mathbb{N}$ ,

$$\begin{aligned} T^\ell K - K(T^*)^\ell &= T(T^{\ell-1} K + T^{\ell-2} K T^* + T^{\ell-3} K (T^*)^2 + \dots + K (T^*)^{\ell-1}) \\ &\quad - (T^{\ell-1} K + T^{\ell-2} K T^* + T^{\ell-3} K (T^*)^2 + \dots + K (T^*)^{\ell-1}) T^* \\ &= TK' - K'T^*, \end{aligned}$$

where  $K' = \sum_{i=0}^{\ell-1} T^{\ell-1-i} K (T^*)^i$ . Clearly  $K'$  is compact as the set of compact operators form an ideal in  $\mathcal{B}(\mathcal{H})$ . Thus, there exist compact operators  $K_1, K_2, \dots, K_n$  such that

$$\begin{aligned} p(T)K - K(p(T))^* &= a_n(TK_n - K_n T^*) + a_{n-1}(TK_{n-1} - K_{n-1} T^*) \\ &\quad + \dots + a_1(TK_1 - K_1 T^*) \\ &= T(a_n K_n + a_{n-1} K_{n-1} + \dots + a_1 K_1) - (a_n K_n + a_{n-1} K_{n-1} + \dots + a_1 K_1) T^*. \end{aligned}$$

Let  $K' = a_n K_n + a_{n-1} K_{n-1} + \dots + a_1 K_1$ , then  $K'$  is compact and the result follows. Clearly if  $R = 0$  then choose  $K' = 0$ .  $\square$

**Corollary 2.13.** *Let  $p$  be a polynomial with real coefficients and  $T \in \mathcal{B}(\mathcal{H})$ . If  $p(T) \in \Delta^*(\mathcal{H})$  then  $T \in \Delta^*(\mathcal{H})$ .*

**Proof.** Since  $p(T) \in \Delta^*(\mathcal{H})$ , then there exists a compact operator  $K$  such that  $p(T)K - K(p(T))^* = R$  where  $R$  is a rank one operator. The result follows directly by the previous proposition.  $\square$

Combine the above corollary with Proposition 2.3, we get

**Theorem 2.14.** *Let  $p$  be a polynomial with real coefficients except for the constant term to be non real and let  $T \in \mathcal{B}(\mathcal{H})$ . If  $p(T) = 0$  then  $T \in \Delta^*(\mathcal{H})$ .*

**Proof.** Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$  be a polynomial with  $a_i \in \mathbb{R}$ ,  $i = 0, 1, \dots, n$  and  $a_n \neq 0$ . If  $p(T) = 0$  then  $p(T) - a_0 I = -a_0 I$  which by Proposition 2.3 belongs to  $\Delta^*(\mathcal{H})$ . But  $p(T) - a_0 I$  is a polynomial with real coefficients and  $p(T) - a_0 I \in \Delta^*(\mathcal{H})$ . Thus, by Corollary 2.13,  $T \in \Delta^*(\mathcal{H})$ .  $\square$

In what follows in this section, we assume that  $T$  is invertible operator.

**Proposition 2.15.** *Let  $T$  be an invertible operator. If  $T \in \Delta^*(\mathcal{H})$  then  $T^{-1} \in \Delta^*(\mathcal{H})$ . follows in this section, we assume that  $T$  is invertible operator.*

**Proof.** Let  $K$  be a compact operator such that  $TK - KT^*$  has rank one. Now,

$$TK - KT^* = (TKT^*)(T^*)^{-1} - T^{-1}(TKT^*).$$

Since  $TKT^*$  is compact, the result follows.  $\square$

**Theorem 2.16.** *Let  $T$  be an invertible operator. If there exist nonzero vectors  $f, g \in \mathcal{H}$  such that*

$$\sum_{n=0}^{\infty} \|T^n f\| \|T^{-n} g\| < \infty.$$

*Then  $T \in \Delta^*(\mathcal{H})$ .*

**Proof.** Let  $K_n = \sum_{k=0}^n T^k f \otimes T^{-k} g$ , then  $K_n$  is of finite rank for each  $n$ , if  $K = \sum_{k=0}^{\infty} T^k f \otimes T^{-k} g$ , then by hypothesis

$$\|K - K_n\| = \left\| \sum_{k>n} T^k f \otimes T^{-k} g \right\| \leq \sum_{k>n} \|T^k f\| \|T^{-k} g\| \rightarrow 0.$$

Consequently,  $K_n \rightarrow K$ , hence  $K$  is compact. Now

$$\begin{aligned} TK - KT^* &= T \left( \sum_{k=0}^{\infty} T^k f \otimes T^{-k} g \right) - \left( \sum_{k=0}^{\infty} T^k f \otimes T^{-k} g \right) T^* \\ &= \sum_{k=0}^{\infty} T^{k+1} f \otimes T^{-k} g - \sum_{k=0}^{\infty} T^k f \otimes T^{-k+1} g \\ &= Tf \otimes g + T^2 f \otimes T^{-1} g = T^3 f \otimes T^{-2} g + \dots \\ &= -f \otimes Tg. \end{aligned}$$

But  $Tg \neq 0$  as  $T$  is invertible, hence  $TK - KT^*$  has rank one, so  $T \in \Delta^*(\mathcal{H})$ .  $\square$

As an illustration we have

**Example 2.17.** Let  $\{e_n\}_{n \in \mathbf{Z}}$  be an orthonormal basis of  $\mathcal{H}$ . Define an operator  $B$  by  $Be_n = w_{n+1}e_{n+1}, n \in \mathbf{Z}$ , where  $w_{n+1} = \frac{1}{2}$  when  $n \geq 0$ , and  $w_{n+1} = 4$  when  $n \leq 0$ . This operator is called weighted bilateral shift operator with weights  $w_n$ . It is well-known that  $B$  is invertible operator and  $B^{-1}e_n = \frac{1}{w_n}e_{n-1}, n \in \mathbf{Z}$ . Note also that  $B^{-k}e_n \rightarrow 0$  as  $k \rightarrow \infty$  for each  $n \in \mathbf{Z}$  and  $B^k e_n \rightarrow 0$  as  $k \rightarrow \infty$  for each  $n \in \mathbf{Z}$ . Easily one can see that  $\sum_{k=0}^{\infty} \|B^k e_n\| \|B^{-k} e_n\| < \infty$  for any fixed  $n \in \mathbf{Z}$ . Thus, Theorem 2.16 implies that  $B \in \Delta^*(\mathcal{H})$ .

Compare the following result with Theorem 2.16,

**Proposition 2.18.** *Let  $T \in \mathcal{B}(\mathcal{H})$ ,  $M$  be a nonzero subspace which is invariant under  $T$  and  $T_1 = T|_M$  is invertible. If there exist nonzero vectors  $f \in \mathcal{H}$  and  $g \in M$  such that  $\sum_{k=0}^{\infty} \|T^k f\| \|T_1^{-k} g\| < \infty$  then  $T \in \Delta^*(\mathcal{H})$ .*

**Proof.** Let  $K = \sum_{k=0}^{\infty} T^k f \otimes T_1^{-k} g$  then the hypothesis implies that the series converges in the operator norm topology, and hence  $K$  is a compact operator. Furthermore,

$$\begin{aligned} TK - KT^* &= \left( K = \sum_{k=0}^{\infty} T^{k+1} f \otimes T_1^{-k} g \right) - \left( \sum_{k=0}^{\infty} T^k f \otimes T_1^{-k+1} g \right) \\ &= -f \otimes T_1 g. \end{aligned}$$

Since  $T_1$  is invertible then  $T_1g \neq 0$  and thus  $TK - KT^*$  has rank one, i.e.,  $T \in \Delta^*(\mathcal{H})$ .  $\square$

**Example 2.19.** Let  $T \in \mathcal{B}(\mathcal{H})$  be such that  $\sigma(T) = \{0, 2\}$ . Then  $\sigma(T) = \{0\} \cup \{2\}$ . Thus Riesz decomposition theorem implies that  $\mathcal{H}$  splits into the direct sum of two nontrivial hyperinvariant subspaces  $M_1$  and  $M_2$  such that

$$\sigma(T|M_1) = \{0\}, \sigma(T|M_2) = \{2\}.$$

Clearly  $T = T|M_2$  is invertible and the spectral radius  $r(T|M_1) = 0$  while  $r(T^{-1}) = \frac{1}{2} < 1$ , consequently  $\sum_{k=0}^{\infty} \|T^k f\| \|T^{-k} g\| < \infty$  for any nonzero vectors  $f \in \mathcal{H}, g \in M_2$ . Thus,  $T \in \Delta^*(\mathcal{H})$ .

### 3. The class $\Delta^*(\mathcal{H})$ and the hyperinvariant subspace problem

In this section we try to find a relation between the class  $\Delta^*(\mathcal{H})$  and the hyperinvariant subspace problem. For this purpose we study a subset of  $\Delta^*(\mathcal{H})$ , denoted by  $\Delta^*\mathcal{F}(\mathcal{H})$  which is defined as follows.

**Definition 3.1.** By  $\Delta^*\mathcal{F}(\mathcal{H})$  we mean the set of all operators  $T$  in  $\mathcal{B}(\mathcal{H})$  with the property that there exists a finite rank operator  $F$  such that the rank of  $TF - FT^*$  is equal to one. That is  $\Delta^*\mathcal{F}(\mathcal{H}) = \{T \in \mathcal{B}(\mathcal{H}) \mid \text{there exists a finite rank operator } F \text{ such that } TF - FT^* \text{ has rank one}\}$ .

**Remark 3.2.** Clearly  $\Delta^*\mathcal{F}(\mathcal{H}) \subseteq \Delta^*(\mathcal{H})$ , however the converse is not true as we see later. It is easy to verify that  $\Delta^*\mathcal{F}(\mathcal{H})$  is nonempty set, for example  $\lambda I$  belongs to  $\Delta^*\mathcal{F}(\mathcal{H})$  iff  $\lambda \notin \mathbb{R}$  (see proof of Proposition 2.3).

The following proposition exhibits several cases for an operator  $T$  belongs to  $\Delta^*\mathcal{F}(\mathcal{H})$ . For the proof just notice that the compact operator found in the corresponding cases appeared in the last section is, in fact, of finite rank.

**Proposition 3.3.** *Let  $T$  be a nonzero operator, then  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  if it satisfies any of the following statements.*

1.  $T$  is nilpotent.
2.  $T$  is of finite rank.
3.  $T \neq I$  is idempotent.
4.  $T$  is non invertible algebraic operator.
5.  $T$  is invertible with  $T^{-1} \in \Delta^*\mathcal{F}(\mathcal{H})$ .

The following theorem reflects the importance of the class  $\Delta^*\mathcal{F}(\mathcal{H})$ . For this purpose we need some preliminaries.

**Lemma 3.4.** *Let  $T \in \mathcal{B}(\mathcal{H})$ .  $T$  has a nontrivial hyperinvariant subspace iff  $T^*$  has so.*

**Proof.** Suppose that  $M$  is a nontrivial hyperinvariant subspace for  $T$ , let  $S \in \{T^*\}'$ , the commutant of  $T^*$ , i.e.,  $ST^* = T^*S$ , then  $TS^* = S^*T$ . Hence,  $S^*M \subseteq M$  which implies that  $SM^\perp \subset M^\perp$  [2]. Since  $M$  is nontrivial and  $M \oplus M^\perp = \mathcal{H}$  then  $M^\perp$  is also nontrivial subspace. Consequently  $M^\perp$  is a nontrivial hyperinvariant subspace for  $T^*$ . The converse is obvious since  $(T^*)^* = T$ .  $\square$

**Lemma 3.5.** *Let  $M$  be a nontrivial invariant subspace for an operator  $T$ . If  $M$  is of finite dimension then  $T$  has an eigenvalue.*

**Proof.** Suppose that an operator  $T$  has a finite dimensional nontrivial invariant subspace  $M$ , then  $T|_M$  represents an operator on a finite dimension Hilbert space over the complex numbers, hence by the Fundamental theorem of algebra, it has an eigenvalue.  $\square$

It is known that, for operators  $A$  and  $B$  in  $\mathcal{B}(\mathcal{H})$ . if  $AB - BA$  has rank one, then either  $\ker A$  or  $\text{rng} A$  is invariant under  $B$ . This fact is due to Laffey [3]. As a modification to Laffey's theorem, it has been shown that for operators  $A, B$  and  $T \in \mathcal{B}(\mathcal{H})$ , if  $AB - TA$  has rank one, then either  $\ker A$  is invariant under  $B$  or  $\text{rng} A$  is invariant under  $T$  (see [1]). In particular we can prove the following lemma

**Lemma 3.6.** *Let  $A, T \in \mathcal{B}(\mathcal{H})$ . If  $TA - AT^*$  has rank one, then either  $\ker A$  is invariant under  $T^*$  or  $\text{rng} A$  is invariant under  $T$ .*

**Proof.** Assume  $\ker A$  is not invariant under  $T^*$ , then  $\ker A$  must be nontrivial and hence there exists a nonzero vector  $x \in \mathcal{H}$  such that  $Ax = 0$  and  $AT^*x \neq 0$ . Since  $TA - AT^*$  has rank one, then there exists a nonzero vector  $z \in \mathcal{H}$  such that for each  $y \in \mathcal{H}$ ,  $(TA - AT^*)y = c_y z$  where  $c_y z$  is a complex number that may depend on  $y$ . In particular,  $(TA - AT^*)x = -AT^*x \neq 0$ , hence  $(TA - AT^*)y = -c_y AT^*x$  for each  $y \in \mathcal{H}$ . Therefore  $TAy = AT^*y - c_y AT^*x = AT^*(y - c_y x)$  for each  $y \in \mathcal{H}$ . Consequently,  $TA\mathcal{H} \subseteq AT^*\mathcal{H} \subseteq A\mathcal{H}$ . i.e.,  $\text{rng} A$  is invariant under  $T$ .  $\square$

Now we are ready to give the following theorem. Recall that if  $F$  is a finite rank operator then so is  $F^*$  [4, p.49].

**Theorem 3.7.** *Let  $T$  be any non-real scalar operator. If  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  then  $T$  has a nontrivial hyperinvariant subspace.*

**Proof.** The assumption implies that there exists a finite rank operator  $F$  such that  $TF - FT^*$  has rank one. Clearly  $F \neq 0$ . On the other hand, since  $F$  is of finite rank, then  $\ker F \neq 0$  and  $\text{rng} F$  is a finite dimensional subspace. Thus, by Lemma 3.6, either  $\ker F$  is a nontrivial invariant subspace for  $T^*$ , hence  $\text{rng}(F^*) = (\ker F)^\perp$  is a nontrivial invariant subspace for  $T$  or  $\text{rng} F$  is a nontrivial invariant subspace for  $T$ . Since  $F^*$  is also of finite rank, i.e.,  $\text{rng} F^*$  is a finite dimensional subspace, then we get that either  $\text{rng} F$  or  $\text{rng} F^*$  is a nontrivial invariant subspace for  $T$ . In both cases  $T$  has a finite dimensional nontrivial

invariant subspace thus by Lemma 5,  $T$  has an eigenvalue, say  $\lambda$ . Moreover, since  $T \neq \lambda I$  by assumption,  $\ker(T - \lambda I)$  is a nontrivial invariant subspace for  $T$ . Consequently,  $M = \text{rng}(T^* - \bar{\lambda}I)$  is a nontrivial invariant subspace for  $T^*$ . Now, for each  $S \in \{T^*\}'$ , the commutant of  $T^*$ ,

$$S(T^* - \bar{\lambda}I)\mathcal{H} = (T^* - \bar{\lambda}I)S\mathcal{H} \subseteq \text{rng}(T^* - \bar{\lambda}I),$$

i.e.,  $S(\text{rng}(T^* - \bar{\lambda}I)) \subseteq \text{rng}(T^* - \bar{\lambda}I)$  which implies that  $SM \subseteq M$ . Thus  $M$  is a nontrivial invariant subspace for  $T^*$  and consequently by Lemma 3.4,  $T$  has a nontrivial hyperinvariant subspace.  $\square$

**Remark 3.8.** The proof of Theorem 7 shows that any operator  $T$  which belongs to  $\Delta^*\mathcal{F}(\mathcal{H})$  has a nontrivial invariant subspace of finite dimension and thus by Lemma 3.5 has an eigenvalue. The following proposition shows that the converse is true provided that  $T$  is nonscalar operator.

**Proposition 3.9.** *Let  $T$  be any non-real scalar operator. If  $T$  has an eigenvalue, then  $T \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Let  $\lambda \in \mathcal{C}$  be such that  $Tf = \lambda f$  for some nonzero vector  $f \in \mathcal{H}$ . Moreover there exists a nonzero vector  $g \in \mathcal{H}$  such that  $Tg \neq \bar{\lambda}g$  otherwise  $T = \bar{\lambda}I$  which contradicts the assumption. Let  $F = f \otimes g$  then,

$$\begin{aligned} TF - FT^* &= T(f \otimes g) - (f \otimes g)T^* \\ &= TF \otimes g - f \otimes Tg - \lambda f \otimes g - f \otimes Tg \\ &= f \otimes \bar{\lambda}g - f \otimes Tg - f \otimes (\bar{\lambda}g - Tg). \end{aligned}$$

Since  $\bar{\lambda}g \neq Tg$  then  $TF - FT^*$  has rank one. Hence  $T \in \Delta^*\mathcal{F}(\mathcal{H})$ .  $\square$

The following theorem characterizes the elements in  $\Delta^*\mathcal{F}(\mathcal{H})$ .

**Theorem 3.10.** *Let  $T \in \mathcal{B}(\mathcal{H})$ . Then  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  iff  $T$  has an eigenvalue provided that  $T \neq \lambda I$  where  $\lambda \in \mathbb{R}$ .*

**Proof.** If  $T = \lambda I$  then clearly  $\lambda$  has an eigenvalue for  $T$ . Thus  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  iff  $\lambda \notin \mathbb{R}$ . Let  $T$  be any non-real scalar operator, then Remark 3.8 and Proposition 3.9 imply that  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  iff  $T$  has an eigenvalue.  $\square$

It is worthy to notice that the operator  $B$  defined in Example 2.17 does not belong to  $\Delta^*\mathcal{F}(\mathcal{H})$  as  $\sigma_p(B) = \phi$  ([5, p.229]). However,  $B \in \Delta^*(\mathcal{H})$  Example 2.17.

**Theorem 3.11.**  *$T \in \Delta^*\mathcal{F}(\mathcal{H})$  iff  $T$  has a finite dimensional nontrivial invariant subspace provided that  $T \neq \lambda I, \lambda \in \mathbb{R}$ .*

**Proof.** If  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  then Remark 3.8 shows that  $T$  has a finite dimensional non invariant subspace. Suppose that  $T$  has a finite dimensional nontrivial invariant subspace and  $T \neq \lambda I, \lambda \in \mathbb{R}$ , then Lemma 3.5 implies that  $T$  has an eigenvalue and thus the results follows from Theorem 3.10.  $\square$



**Remark 3.12.** If  $U$  is the unilateral shift operator then  $U \notin \Delta^*\mathcal{F}(\mathcal{H})$  since  $\sigma_p(U) = \phi$  ([5, p.227]). On the other hand,  $U$  has a nontrivial invariant subspace, defined as follows.

Fix a positive integer  $k$  and consider  $M_k =$  the linear manifold generated by  $\{e_n\}, n \geq k$ , where  $\{e_n\}$  is an orthonormal basis of  $\mathcal{H}$ . Since  $Ue_n = e_{n+1}$  for all  $n \geq 1$  by definition, then  $UM_k \subseteq M_k$  for all  $k \geq 1$ . Thus,  $M_k, k \geq 2$  is a nontrivial invariant subspace of  $U$ . Clearly,  $M_k$  is of infinite dimension for all  $k \geq 2$ .

The following example shows that  $\Delta^*\mathcal{F}(\mathcal{H})$  is not selfadjoint class.

**Example 3.13.** Let  $U$  be the unilateral shift operator then  $\sigma_p(U) = \phi$  while  $\sigma_p(U^*) \neq \phi$  as  $U^*e_1 = 0$  ([5, p.227]). Consequently, Theorem 3.10 implies that  $U^* \in \Delta^*\mathcal{F}(\mathcal{H})$  while  $U \notin \Delta^*\mathcal{F}(\mathcal{H})$ .

On the other hand, for any nonscalar operator  $T$ , if either  $T$  or  $T^*$  belongs to  $\Delta^*\mathcal{F}(\mathcal{H})$ , then Lemma 3.4 and Theorem 3.10 imply that  $T$  has a nontrivial hyperinvariant subspace.

In what follows, more results about  $\Delta^*\mathcal{F}(\mathcal{H})$  are obtained.

**Proposition 3.14.** *Let  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  and  $S \in \mathcal{B}(\mathcal{H})$  satisfies  $VT = SV$  for some 1-1 operator  $V$ , then  $S \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Let  $F$  be a finite rank operator such that  $TF - FT^*$  has rank one, then there exist nonzero vectors  $f, g \in \mathcal{H}$  such that  $TF - FT^* = f \otimes g$ . Now,  $V(TF - FT^*)V^* = V(f \otimes g)V^*$ , so  $VTFV^* - VFT^*V^* = Vf \otimes Vg$ , thus

$$S(VFV^*) - (VFV^*)S^* = Vf \otimes Vg.$$

Since  $V$  is 1-1 then  $Vf \neq 0$  and  $Vg \neq 0$  hence  $Vf \otimes Vg$  is of rank one. Consequently,  $S \in \Delta^*\mathcal{F}(\mathcal{H})$  as  $VFV^*$  is of finite rank. □

**Corollary 3.15.** *Let  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  and  $S \in \mathcal{B}(\mathcal{H})$ . If  $T$  is similar to  $S$ , then  $S \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

Recall that the compression spectrum of an operator  $T$ , denoted by  $\Gamma(T)$ , is the set of all complex numbers  $\lambda$  such that  $\overline{rng(T - \lambda I)} \neq \mathcal{H}$ , and  $\sigma(T) = \Gamma(T) \cup \sigma_{ap}(T)$  ([5, p.41]).

**Proposition 3.16.** *Let  $T \in \mathcal{B}(\mathcal{H})$ . If  $\Gamma(T) \neq \phi$  then  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Let  $\lambda \in \Gamma(T)$  then  $\overline{rng(T - \lambda I)} \neq \mathcal{H}$ . Since  $\overline{rng(T - \lambda I)} \oplus \ker(T^* - \bar{\lambda}I) = \mathcal{H}$  then  $\ker(T^* - \bar{\lambda}I) \neq 0$ , i.e.,  $\bar{\lambda} \in \sigma_p(T^*)$  and by Proposition 3.9,  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$ . □

**Proposition 3.17.** *Let  $T \in \mathcal{B}(\mathcal{H})$ . If there exists  $\lambda \in \sigma(T)$  such that  $\overline{rng(T - \lambda I)}$  is closed then either  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  or  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Let  $\lambda \in \sigma(T)$  satisfy  $\text{rng}(T - \lambda I)$  is closed. Since  $\sigma(T) = \Gamma(T) \cup \sigma_{ap}(T)$ , then either  $\lambda \in \Gamma(T)$  which implies by Proposition 3.16, that  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$  or  $\lambda \in \sigma_{ap}(T)$ , thus,  $\lambda \in \sigma_p(T)$ . Consequently,  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  Proposition 3.9.  $\square$

**Corollary 3.18.** *Let  $T$  be a non invertible operator. If  $\text{rng}T$  is closed then either  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  or  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Since  $T$  is not invertible, then  $0 \in \sigma(T)$  and the result follows directly from Proposition 3.17.  $\square$

**Corollary 3.19.** *Let  $T$  be a normal operator that satisfies the conditions in Proposition 3.17, then  $T \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Since  $\sigma(T) = \sigma_{ap}(T)$  then  $\ker(T - \lambda I) \neq 0$ , i.e.,  $\lambda \in \sigma_p(T)$ . Hence  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  Proposition 3.9.  $\square$

Recall that an operator  $T$  is isometric if  $ST = I$  for some  $S \in \mathcal{B}(\mathcal{H})$ , equivalently,  $\|Tx\| = \|x\|$  for each  $x \in \mathcal{H}$  ([2, p.143]).

**Corollary 3.20.** *Let  $T$  be an isometric which is not unitary, then either  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  or  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Clearly  $T$  is 1-1 by the definition. Since any isometry operator is unitary iff it is invertible, then  $T$  is not invertible. It is easy to check that  $\text{rng}T$  is closed. Thus, by Corollary 3.18, either  $T$  or  $T^*$  belongs to  $\Delta^*\mathcal{F}(\mathcal{H})$ .  $\square$

Recall that an operator  $T$  is called a Fredholm operator if  $\text{rng}T$  is closed and both  $\ker T$  and  $(\text{rng}T)^\perp$  are finite dimensional ([5, p.96]). For example it is easy to check that the unilateral shift operator is Fredholm operator.

**Corollary 3.21.** *Let  $T$  be a non invertible Fredholm operator, then either  $T \in \Delta^*\mathcal{F}(\mathcal{H})$  or  $T^* \in \Delta^*\mathcal{F}(\mathcal{H})$ .*

**Proof.** Just apply Corollary 3.18.  $\square$

The following result related to the size of  $\Delta^*\mathcal{F}(\mathcal{H})$ .

**Proposition 3.22.** *The class  $\Delta^*\mathcal{F}(\mathcal{H})$  is dense in  $\mathcal{B}(\mathcal{H})$  in the norm operator topology.*

**Proof.** Since the set of all operators with nonempty point spectrum is dense in  $\mathcal{B}(\mathcal{H})$ , the result is an immediate consequence of Theorem 3.10.  $\square$

**Corollary 3.23.** *The class  $\Delta^*\mathcal{F}(\mathcal{H})$  is dense in  $\mathcal{B}(\mathcal{H})$  in the norm operator topology and hence dense in both the operator strong and weak topology.*

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